

Notice of Privacy Practices

UPDATED AUGUST 12, 2024

At Swico Consulting & Investment company, we respect your privacy and protecting you is our top priority. We also know that you expect us to conduct and process your business in an accurate and efficient manner. To do so, we must collect and maintain certain personal information about you. This may include your name and address, your telephone number, your Social Security Number or taxpayer identification number, your assets, your income, your investment activity, and your accounts at other financial institutions.

Where we get the information - The information we collect about you comes primarily from applications, subscriptions, profiles, and other forms you complete and send to us and from your transactions with us. We may also receive information about you through third parties that you have authorized, such as custodians or other investment managers.

To whom we disclose the information - We do not sell information about current or former clients or their accounts to third parties nor do we disclose any public or nonpublic personal information about current or former clients except as set forth below. To provide necessary business services to your account, we may disclose information to service providers such as custodians, investment managers, and brokerage firms, all of which are required to maintain the confidentiality of such information. Finally, we will release information about you if you direct us to or if we are compelled by law to do so.

Protecting your personal information - To protect information about you, we restrict access to nonpublic personal information to those employees who need to know the information in order to provide services to you. We maintain physical, electronic, and procedural safeguards to maintain the confidentiality of your personal information.

As required by federal law, we will provide you with an initial privacy notice and thereafter an annual privacy notice and with an updated notice if there are changes to our privacy policies and procedures that are material in nature. If you have any questions about how we protect and safeguard your public and nonpublic personal information, please email us at info@swicocic.com.